**Application Number** 

**Filing Date** 

RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

August 30, 2004

Date

08/416,920

April 21, 1995

ion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FURIVI		First N	lamed Inventor	Miltenyi, S.	
(to be used for all correspondence after in	nitial filing)	Group	Art Unit	1644	
		Exami	ner Name	Schwadron, R.	
Total Number of Pages in This Submission	6	Attorn	ey Docket Number	022370-000210US	
	ENCLO	DSURES	(check all that apply)		
Fee Transmittal Form		ment Pap Application		After Allowance Communication to Group	
Fee Attached	Drawing(s)			Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
. After Final			Slip (PTO/SB/69) ring Petition	Proprietary Information	
Affidavits/declaration(s)		to Conv		Status Letter	
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Addres			Other Enclosure(s) (please identify below):	
Express Abandonment Request	Decrease for Defined			1) Return receipt postcard 2) PTO/SB/17 fee transmittal form 3) Six (6) references	
☐ Information Disclosure Statement	CD, Nu	ımber of	CD(s)		
Certified Copy of Priority Document(s)	Rema	rks	Deposit Account 20-14	authorized to charge any additional fees to 30.  s does not include cited references.	
Response to Missing Parts/ Incomplete Application			,		
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, OI	RAGENT	
Firm Townsend and Townsend					
and Individual name Randolph Ted Apple Reg No. 36,429					
Signature .		-			
Date August 3, 2004					
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

Christopher R. Fitting

60294946 v1

Signature

Typed or printed name

	010		P10/3B/17 (10-03)
/	FEE TRANSMITTAL		Complete if Known
/		Application Number	09/416,920
Z	AUG 3 0 2004 2 or FY 2004	Filing Date	April 21, 1995
1	Effective 1001/2003. Patent fees are subject to annual revision.	First Named Inventor	Miltenyi, S.
	Angular claims small entity status. See 37 CFR 1.27	Examiner Name	Schwadron, R.
		Art Unit	1644
	TOTAL AMOUNT OF PAYMENT (\$) 180	Attorney Docket No.	022370-000210US

	METHOD OF PA	AYMENT (check all that apply)					FEE CA	ALCULATION (continued)	
Check	Credit Card	Money Order Other Nor	e	3. ADD	ITIONAL I	EES			
Deposit Acco	-		-	Large	Entity	Small	Entity		
Deposit Account	20-143			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	20-143			1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	L		_ _	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend	and Townsend and Crew LLP		1053	130	1053	130	Non-English specification	
Name	L	<del></del>		1812	2,520	1812	2,520	For filing a request for reexamination	
K-74	uthorized to: (e indicated below	check all that apply) v		1804	92 <b>0</b> °	1804	920*	Requesting publication of SIR prior to Examiner action	
	, ,	or any underpayment of fee(s)		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) to the above-identi		v, except for the filing fee		1251	110	2251	55	Extension for reply within first month	
to the above-identi		CALCULATION		1252	420	2252	210	Extension for reply within second month	-
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	001 385	Utility filing fee		1401	330	2401	165	Notice of Appeal	
1002 340 2	002 170	Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2	003 265	Plant filing fee		1403	290	2403	145	Request for oral hearing	
	004 385	Reissue filing fee						Petition to institute a public use	
1005 160 2	005 80	Provisional filing fee		1451	1,510	1451	1,510	proceeding	
	SUBTO	AL (1) (\$)	$\neg$	1452	110	2452	55	Petition to revive – unavoidable	
				1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLA	AIM FEES F	OR UTILITY AND REISSUE		1501	1,330	2501	665	Utility issue fee (or reissue)	
		Fee from		1502	480	2502	240	Design issue fee	
l –		tra Claims below Fee Pa	id	1503	640	2503	320	Plant issue fee	
Total Claims	" =			1460	130	1460	130	Petitions to the Commissioner	
Independent Claims	=	 		1807	50	1807	50	Petitions related to provisional applications	
Multiple Dependent			=	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Large Entity	Small Entity			8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Code (\$)	Fee Fee Code (\$)	Fee Description		1809	770	2809	385	Filing a submission after final rejection	
1202 18	2202 9			1810	770	2810	385	(37 CFR § 1.129(a))  For each additional invention to be	<del></del>
1201 86	2201 43			1010	110	2010	303	examined (37 CFR § 1.129(b))	
1203 290 1204 86	2203 145 2204 43	** Reissue independent claims	paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	over original patent "Reissue claims in excess of and over original patent	20	1802	900	1802	900	Request for expedited examination of a design application	
	ı Sil	BTOTAL (2) (\$)	$\neg$	Other fe	e (specify)	• ·			
**or number previo		ter; For Reissues, see above	J				Fee Paid	SUBTOTAL (3) (\$)180	<u> </u>
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SUBMITTED BY				Cor	nplete (if applicable)
Name (Print/Type)	Randolph Ted Apple	Registration No. (Attorney/Agent)	36,429	Telephone	650-326-2400
Signature	Row			Date	August 2004

Attorney Docket No.: 022370-000210US



application of:

Miltenyi et al.

Application No.: 08/416,920

Filed: April 21, 1995

For: DIRECT SELECTION OF CELLS

BY SECRETION PRODUCT

Examiner: R. Schwadron

Art Unit: 1644

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

References AB-AF describe the use of commercial embodiments of versions of the disclosed invention. Applicant also draws the following commonly owned copending applications to the attention of Examiner: No. 10/445,391 (entitled Direct Selection of Antigen - Specific T Cells, Compositions Obtained Thereby and Methods of Use Thereof) and No. 10/094,461 (entitled Direct Selection of Cells By Secretion Product).

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference

Miltenyi et al.

Application No.: 08/416,920

Page 2

should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Randolph Ted Apple Reg. No. 36,429

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

RTA:crf

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7	AUG 3 U 2004	<u>u</u>			PTO/SB/08A (08-03)
Substit	FADEMARY	8			Complete if Known
			•	Application Number	08/416,920
INFC	RMATION	DIS	SCLOSURE	Filing Date	April 21, 1995
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Miltenyi, S.
				Art Unit	1644
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Sheet	1	of	2	Attorney Docket Number	022370-000210US

			U.S. PATENT DO	CUMENTS+	
	_	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-6,576,428	06-10-2003	Assenmacher et al.	
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				FOREIGN PA	TENT DOCUME	NTS		
<b>5</b>	0:4-	Fore	eign Patent Doo	cument		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>8</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature	Date Considered	

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Applicant's unique citation designation number (optional). 
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Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 
Applicant is to place a check mark here if English language Translation is attached. 
60294770 v1



INFORMATION DISCLOSURE	Ξ
INFORMATION DISCEOSURE	_
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STATEMENT BY APPLICANT	

Complete if Known

Application Number 08/416,920

Filing Date April 21, 1995

First Named Inventor Miltenyi, S.

Art Unit 1644

Examiner Name Schwadron, R.

Attorney Docket Number 022370-000210US

(use as many sheets as necessary)

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Cite T² Examiner (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), No.1 publisher, city and/or country where published. Initials \* DENIZ et al., "Human NK1 and NK2 subsets determined by purification of IFN - \( \gamma \) secreting and IFN-\( \gamma \) AΒ nonsecreting NK cells," Eur. J. Immunol., 32:879-884 (2002). EDWARDS et al., "Microbial Recopgnition Via Toll-Like Receptor-Dependent and -Independent Pathways AC Determines the Cytokine Response of Murine Dendritic Cell Subsets to CD40 Triggering," J. Immunology, 169:3652-3660 (2002) HAYAKAWA et al., "Critical contribution of IFN-γ and NK cells, but not perforin-mediated cytotoxicity, to AD anti-metastatic effect of a \( \alpha\)-galactosylceramide," Eur. J. Immunol., 31:1720-1727 (2001). HU-LI et al., "Regulation of Expression of IL-4 Alleles: Analysis Using a Chimeric GFP/IL-4 Gene," Immunity, AΕ 14:1-11 (2001). YANG et al., "Immunoregulatory Role of CD1d in the Hydrocarbon Oil-Induced Model of Lupus Nephritis," J. AF Immunology, 171:2142-2153 (2003).

Date
Considered

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